

FORM PTO-1390 (REV 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>1721-43</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		<b>COPY</b> U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/030,740</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP00/06943</b>	INTERNATIONAL FILING DATE <b>5 July 2000</b>	PRIORITY DATE CLAIMED <b>13 July 1999</b>

TITLE OF INVENTION  
**NOVEL NEISSERIA MENINGITIDIS COMPOUNDS AND ANTI-INFLECTION APPLICATIONS THEREOF**

APPLICANT(S) FOR DO/EO/US  
**NASSIF et al**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 To 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information.

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/030,740</b>	INTERNATIONAL APPLICATION NO. <b>PCT/EP00/06943</b>	ATTORNEY'S DOCKET NUMBER <b>1721-43</b>
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2. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):**

- Neither international preliminary examination fee (37 C.F.R. 1.482) , nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....\$1040.00
- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$890.00
- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO .....\$740.00
- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00
- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).	\$	0.00	
	\$	0.00	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	20	-20 =	0	X	\$18.00	\$ 0.00
Independent Claims	3	-3 =	0	X	\$84.00	0.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					\$280.00	\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>						<b>\$ 0.00</b>

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL =**

Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).	\$	0.00	
	\$	0.00	

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00</b> per property	\$	40.00	
Fee for Petition to Revive Unintentionally Abandoned Application (\$1280.00 - Small Entity = \$640.00)	\$	0.00	
<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 40.00</b>	

	Amount to be:		
	refunded	\$	
	Charged	\$	

a. ☒ A check in the amount of \$40.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this form is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.

d. ☒ The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**

NIXON & VANDERHYE P.C.  
1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
RJS:eaw

SIGNATURE

**B. J. Sadoff**  
 NAME

<b>36,663</b>	<b>March 27, 2002</b>
REGISTRATION NUMBER	Date



JC14 Rec'd PCT/PTO 0 8 MAY 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

**NASSIF et al**

Atty. Ref.: 1721-43

Serial No. 10/030,740

Group:

Filed: January 11, 2002

Examiner:

For: **NOVEL NEISSERIA MENINGITIDIS COMPOUNDS AND  
ANTI-INFLECTION APPLICATIONS THEREOF**

\* \* \* \* \*

May 8, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**SUBMISSION**

Responsive to the Notification dated March 29, 2002 (copy attached), the undersigned submits that the requisite Declaration was filed and received by the Patent Office with the undersigned's Transmittal Letter on March 27, 2002. Further copies of the undersigned's March 27, 2002 submission and attachments are attached hereto for the convenience of the Office, along with a copy of the undersigned's post card receipt relating to the same.

A further Declaration executed by the applicants is also attached.

The Office is requested to contact the undersigned if anything further is required in response to the Notice of March 29, 2002.

An early and favorable Action on the merits of the claimed invention is requested.

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MAY 03 2002

JUN 03 2002

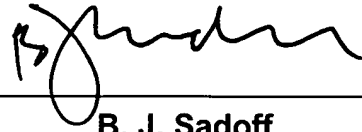
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TECH CENTER 1600/2900

**NASSIF et al**  
**Serial No. 10/030,740**

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_



**B. J. Sadoff**  
**Reg. No. 36,663**

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**COPY**

UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box 801  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/030,740	Nassif	1721-43

DOCKETED

INTERNATIONAL APPLICATION NO.	
PCT/EP00/06943	
I.A. FILING DATE	PRIORITY DATE
07/05/2000	07/13/1999

Nixon & Vanderhye  
 1100 North Glebe Road  
 8th Floor  
 Arlington, VA 22201-4714

CLT/MATTER # 1721-43  
 MAIL DATE 3-29-02  
 DUE DATE May 29, 2002  
 FINAL DEADLINE Oct 29, 2002  
 DOCKETED BY ps4/115

CONFIRMATION NO. 8255  
 371 FORMALITIES LETTER



\*OC00000007707406\*

Date Mailed: 03/29/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

**COPY**

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,740	PCT/EP00/06943	1721-43

FORM PCT/DO/EO/905 (371 Formalities Notice)

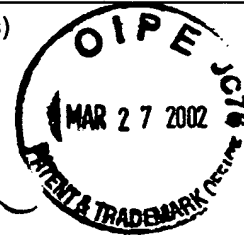
**COPY**

Serial No.: 10/030740  
Applicant: Nassir et al  
Title: Novel Neisseria meningitidis...

Atty: BJJ  
Date: 3/27/02  
Client:   
Ref: 1721-43

☐ Amendment  
☐ Pages Specification  
☐ Claims  
☐ Sheets Drawings: Formal ☐  
Informal ☐  
2 Declaration (2 Pages)  
2 Assignment  
40 Priority Document  
90 Base Issue Fee Transmittal  
Fee (Check)

Other: PCT Completion



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MAY 03 2002

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